

Notice of References Cited

Application/Control No.

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Applicant(s)/Patent Under

Reexamination

SHIELDS ET AL.

Examiner

ERIC T. WONG

Art Unit

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U.S. PATENT DOCUMENTS

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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NON-PATENT DOCUMENTS

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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V	Frase, Dick and Parry, Helen, "Exchanges and Alternative Trading Systems", Sweet & Maxwell Limited, 2002, p.99.
W	"Cross-Margins for Equity Index and Interest Rate Futures and Options", July 1999.
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a))
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